

PATENT

MAR 09 2005

Docket No.: 1232-5179

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Naoya KANEDA

Group Art Unit: 2873

Serial No.: 10/691,156

Examiner: David N. Spector

Filed: October 21, 2003

For: OPTICAL APPARATUS AND LENS APPARATUS

**CERTIFICATE OF FACSIMILE TRANSMISSION**Mail Stop Amendment  
COMMISSIONER for PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that the following item(s):

1. Amendment Fee Transmittal (with an authorization to charge Deposit Account); and
2. Preliminary Amendment

is/are being transmitted pursuant to 37 C.F.R. §1.8 by facsimile on the date indicated below to Examiner **David N. Spector** Group Art Unit 2873 at the following facsimile number: **(703) 872-9306**. Transmission Total: 24 pages (including this cover sheet).

Respectfully submitted,  
MORGAN & FINNEGAN, LLP

Date: March 9, 2005

By: Sung Ho HongSung Ho Hong  
Registration. No. 54,571Mailing Address:  
MORGAN & FINNEGAN, LLP  
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New York, New York 10281-2101  
(212) 415-8700 (Telephone)  
(212) 415-8701 (Telecopier)

904935 v1

Docket No. 1232-5179Serial No. 10/691,156

- ☐ Small entity status is or has been claimed.  
Reduced Fees Under 37 C.F.R. §1.9(f) paid herewith \$ \_\_\_\_\_
- ☐ \_\_\_\_\_ Pages Sequence Listing
- ☐ \_\_\_\_\_ Computer disk(s) containing substitute Sequence Listing
- ☐ Statement under 37 C.F.R. §1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is attached.
- ☒ Charge fee to Deposit Account No. 13-4500, Order No. 1232-5179. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this amendment, including all fees pursuant to 37 CFR §1.17 for its timely consideration, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1232-5179. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: March 9, 2005

By: \_\_\_\_\_

Sungho HongRegistration No. 54,571Correspondence Address:

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3 World Financial Center  
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(212) 415-8701 Facsimile

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**27123**

↑CUSTOMER NUMBER↑

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**AMENDMENT FEE TRANSMITTAL**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for the above-identified application.

☐ No additional fee is required.☒ The additional fee has been calculated as shown below:**CLAIMS AS AMENDED**

	Claims Remaining After Amendment	Highest No. Covered by Previous Payments	Extra	Rate	Additional Fee
Total Claims*	28	28	0	\$50.00/ \$25.00	\$ 0.00
Independent Claims	14	11	3	\$200.00/ \$100.00	\$ 600.00
Multiple Dependent Claims	(If claims added by amendment include Multiple Dependent Claim(s) and there was no Multiple Dependent Claim(s) in application before amendment add \$360.00 to additional fee (\$180.00 for small entity).				\$
TOTAL					\$ 600.00

\*Includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. §1.75(c).

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P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**PRELIMINARY AMENDMENT**

Sir:

Applicant filed a Request for Continued Examination (RCE) along with an Information Disclosure Statement (IDS) on February 18, 2005 in response to a Notice of Allowance mailed November 22, 2004.

Prior to examination on the merits, entry of the following Preliminary Amendment is respectfully requested.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper;

**Remarks/Arguments** begin on page 18 of this paper.